

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|---|-------------------|--------------------------------------|---------------|--|-----------------------------|---------------------------------------|--|---------------|--------------------|--|---|---|--|---|---------------|
| Hauf Jason W. | | | | | BGC Group, Inc. [BGC] | | | | | | | | piicabie) | | | |
| (Last) (First) (Middle) | | | | 3.] | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | Director V Officer (gr | Director10% Owner X Officer (give title below) Other (specify below) | | | |
| C/O BGC GROUP, INC., 499 PARK AVENUE | | | | | | 7/1/2023 | | | | | | | cial Office | | ier (speerry i | <i>seiow)</i> |
| (Street) | | | | 4.] | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | | Y) 6. Individual | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| NEW YORK, NY 10022 | | | | | | | | | | | | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) | | | | Ru | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | |
| | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | |
| | | | | • | | | | | | | | | | . See mstrue | tion 10. | |
| | | | | | | | | | | • | | Beneficially Own | | | | |
| 1.Title of Security (Instr. 3) 2. Trans. I | | | | . Trans. Date | Exec | Deemed ution , if any | 3. Trans. Co (Instr. 8) | de 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5) | | ired (A | 5. Amount of Securi Following Reported (Instr. 3 and 4) | ties Beneficially Owned Transaction(s) | | Ownership of India Form: Benefic | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | Code | V | Amoun | (A) or (D) | Pric | e | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Class A Common Stock, par value \$0.01 per share 7/1/202 | | | | 7/1/2023 | | | A | | 43,900 |) A | (1) | 2). | | 43,900 | D | |
| | Tab | le II - Dei | rivative S | Securities | Bene | eficially | Owned (| e.g., | puts, c | alls, wa | rran | s, options, conve | rtible secu | ırities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deem Execution Date, if an | (Instr. 8) | Code | | re Securities I (A) or I of (D) | 6. Date Exercisable and Expiration Date | | Secur Deriv | e and Amount of ties Underlying ative Security 3 and 4) | 8. Price of Derivative Security (Instr. 5) | Securities Beneficially Owned Following | Ownership Form of | Beneficial | |
| | | | | Code | V | (A) | (D) | Date Exe | e rcisable | Expiration Date | Title | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | | |

Explanation of Responses:

- (1) On July 1, 2023, BGC Partners, Inc. ("BGC Partners"), along with certain other affiliated entities, completed its corporate conversion (the "Corporate Conversion") pursuant to the Corporate Conversion Agreement, dated as of November 15, 2022, as amended as of March 29, 2023. Upon completion of the Corporate Conversion, BGC Partners became a wholly owned subsidiary of its new public holding company, BGC Group, Inc. ("BGC Group"), and each non-exchangeable unit of BGC Holdings, L.P. outstanding as of immediately prior to the Corporate Conversion was converted into the right to receive an equity award denominated in cash and/or equity of BGC Group in a transaction exempt pursuant to Rule 16b-3 under the Securities Exchange Act of 1934, as amended. The transactions reported herein were approved by the Board of Directors of BGC Group and the Compensation Committee thereof.
- (2) Consists of restricted stock units ("RSUs") granted pursuant to the BGC Group, Inc. Long Term Incentive Plan, which represent a contingent right to receive one share of Class A common stock, par value \$0.01 per share, of BGC Group ("Class A Common Stock"), for each RSU. Includes (i) 6,808 RSUs which will vest on July 1, 2033, provided that the reporting person remains employed through such vesting date, and contingent upon BGC Group generating at least \$5 million in revenue for the quarter in which the vesting occurs, and (ii) 37,092 RSUs which will vest on July 1, 2033, provided that the reporting person remains employed through such vesting date.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|--------------------|---------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| Hauf Jason W. | | | | | | | | |
| C/O BGC GROUP, INC. | | Chief Financial Of | | | | | | |
| 499 PARK AVENUE | | | | | | | | |
| NEW YORK, NY 10022 | | | | | | | | |

Signatures

/s/ Jason W. Hauf 7/3/2023

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.